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# Monthly CAT Update

June 20, 2024

# Agenda

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- Transaction System
- CAIS
- CAT Billing
- Compliance
- Upcoming Industry Webinars and Other Updates
- Q&A
- Appendix- Transaction Production Statistics

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# Transaction System Updates

# Exemptive Relief Orders

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- ▶ On May 20, 2024, the SEC issued an [order](#) granting exemptive relief from certain provisions of the CAT NMS Plan relating to responses to requests for quotes (RFQs) provided in standard electronic format (e.g., FIX) that are not “immediately actionable” (i.e., further action is required by the responder providing the quote in order to execute or cause a trade to be executed), to the extent such responses are considered “orders” reportable pursuant to Rule 613(j)(8). The relief expires on July 31, 2026.

# New and Updated Transaction FAQs

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- ▶ No new Transaction [FAQs](#).
- ▶ No Transaction [FAQs](#) were updated.

# Technical Specifications and Reporting Scenarios

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- ▶ There were no updates to the Transaction Technical Specifications or Reporting Scenarios document.

# 2024 Industry Member Releases (Transaction)

## Scope and Schedule

<p><b>2024 – Report Card by Processing Date Release</b></p> <p><b>Production Release – 2/15/2024</b></p>	<p><b>2024 – FDID Validation to Production Release</b></p> <p><b>Production Release – 2/26/2024</b></p>	<p><b>2024 – First Quarter Release Spec Publication – 11/30/2023</b></p> <p><b>Industry Test Release – 1/22/2024</b></p> <p><b>Production/Production Mirror Release – 2/5/2024</b></p>	<p><b>2024 – Handling Instruction Release Spec Publication – 11/30/2023 &amp; 3/28/2024</b></p> <p><b>Industry Test Release – 5/13/2024</b></p> <p><b>Production/Production Mirror Release – 7/1/2024</b></p>
<p><b>Transaction Report Cards</b></p> <ul style="list-style-type: none"> <li>• Generate Report Cards by Processing Date starting with the Report Cards covering January 2024</li> </ul>	<p><b>CAIS-related Validations &amp; Statistics</b></p> <ul style="list-style-type: none"> <li>• Validate FDID against CAIS data</li> <li>• Daily statistics reflecting FDID errors</li> <li>• Provide a new linkage summary feedback file containing missing or invalid FDIDs</li> </ul> <p><i>This functionality is currently available in the Industry Test Environment</i></p> <ul style="list-style-type: none"> <li>• Deliver list of FDIDs accepted to Transaction Production that are not in CAIS Production</li> </ul>	<p><b>New <i>handlingInstructions</i> Values</b></p> <ul style="list-style-type: none"> <li>• Add new 'AUC', 'APCL', 'APCM' <i>handlingInstructions</i> values</li> </ul> <p><i>The compliance date for CAT Reporting the 'AUC', 'APCL', and 'APCM' handlingInstructions values is July 1, 2024.</i></p>	<p><b>Removal of <i>handlingInstructions</i> Value</b></p> <ul style="list-style-type: none"> <li>• Remove 'IDX' <i>handlingInstructions</i> value</li> </ul> <p><b>Add new <i>handlingInstructions</i> Value</b></p> <ul style="list-style-type: none"> <li>• Add new 'PCTPX' <i>handlingInstructions</i> value</li> </ul> <p><i>The compliance date for CAT Reporting the 'PCTPX' handlingInstructions value is July 1, 2024.</i></p>

# 2024 Industry Member Releases (Transaction)

## Scope and Schedule

### 2024 – FDID Validation Report Card Release

Production Release – 7/15/2024

#### Transaction Report Cards

- Generate Report Cards with FDID Validation Errors starting with the Report Cards covering June 2024

### 2024 – Third Quarter Release

Spec Publication – 7/31/2023 & 11/30/2023 & 3/28/2024

Industry Test Release – 5/13/2024

Production/Production Mirror Release – 7/29/2024

#### July 2023 Spec Publication v.4.0.0 r20

- Add new quote events to support reporting of RFQ responses for Options and Multi-Leg events
- Add new fields to equity quote events
  - *RFQID* to MENQ, MERQ, MEQR, MEQM, and MEQC
  - *RFQFlag* to MENQ and MEQR
- Add new *destinationType* value of 'S'

#### November 2023 Spec Publication v.4.1.0 with Additional Enhancements based on Industry Feedback

- Add new *validUntilDuration* field
- Bifurcate *relativePrice* field into *bidRelativePrice* and *askRelativePrice* for equity and option quote events
- Add *senderType* field to quote received events

#### March 2024 Spec Publication v.4.1.0 r2

- Additional Error Codes to support Electronic RFQs and invalid *capacity* field on Trade Events for internalized trades.
- Remove 'ND' (Next Day) *handlingInstructions* value

*This is to support change to T+1 settlement effective May 28, 2024. Industry Members are not expected to report 'ND' when T+1 becomes the new settlement standard on May 28, 2024 but may optionally continue to report 'ND' until July 29, 2024.*

### 2024 – MIAX Sapphire Exchange Release

Spec Publication – 3/28/2024

Industry Test Release – 5/13/2024

Production/Production Mirror Release – 8/12/2024

#### New values for existing fields

- Add new 'SPHR' value for the following fields: *destination*, *marketCenterID* and *senderIMID* to support MIAX Sapphire exchange.

*Pending SEC Approval*

# Other Updates and Reminders

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- ▶ [The Order and Quote Routing Field Mapping between CAT and Exchanges](#) document was updated on May 24, 2024 to reflect updates to the MIAX Sapphire mapping.
- ▶ Beginning on May 13, 2024 in the Test Environment and July 29, 2024 in the Production and Production Mirror Environments, the *feedbackVersion* on all stages of Transaction feedback will change from 4.0.0 to 4.1.0, reflecting the schema version of the specifications and feedback file.

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# CAIS Updates

# New and Updated CAIS [FAQs](#)

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- ▶ No new CAIS [FAQs](#).
- ▶ No CAIS [FAQs](#) were updated.

# Technical Specifications and Reporting Scenarios

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- ▶ There have been no updates to the Full CAIS Technical Specifications or Reporting Scenarios document.

# CAIS Industry Member Release Rollout

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## CAIS Report Card Release

### Report Card

- The first Full CAIS Report Card for the month of June 2024 will be published in July 2024
- Compliance Rates distinguished for Correspondent data

# Upcoming CAT CAIS Weekend Maintenance

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- ▶ The CAT CAIS Reporter Portal and SFTP will be unavailable for the below environments on the following weekends:
  - **Industry Test:** 8:00 a.m. ET on Saturday, June 22, 2024 until approximately 5:00 p.m. ET Sunday, June 23, 2024.
  - **Production Mirror:** 8:00 a.m. ET on Saturday, June 29, 2024 until approximately 5:00 p.m. ET Sunday, June 30, 2024. As a reminder, the CAT CAIS Production Mirror environment will be reset on June 30, 2024.
  - **Production:** 8:00 a.m. ET on Saturday, July 13, 2024 until approximately 5:00 p.m. ET Sunday, July 14, 2024.

The CAT Transaction environments are not impacted and will be available during these periods.

# Other Updates

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- ▶ The [CAT CAIS Reporter Portal User Guide](#) was updated and published on May 31, 2024 to show the updated screenshots in Section 5.1.
- ▶ The next [CAIS Industry Testing Checkpoint Call](#) and Industry Member CAIS Report Card Webinar is scheduled for Wednesday, July 10, 2024 at 4:15 pm ET.
  - The Industry Member CAIS Report Card Webinar will provide an overview of the CAIS Report Card to be implemented beginning with the month of June 2024. The webinar will follow the regularly scheduled CAIS Industry Testing Checkpoint Call.
- ▶ The recordings and presentations are available for:
  - [06/05/2024 CAIS Industry Testing Checkpoint Call](#)
  - [05/29/2024 CAIS Industry Testing Checkpoint Call](#)
  - [05/22/2024 CAIS Industry Testing Checkpoint Call](#)

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# CAT Billing Updates

# CAT Billing: Announcements

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- ▶ A sample CAT invoice and billing trade details representing CAT billable trading activity for May 2024 is scheduled to be published to CAT Executing Brokers on June 25, 2024.
- ▶ Sample CAT invoices and billing trade details are scheduled to be published for June 2024.
- ▶ These sample invoices will be marked as non-payable and will be provided for informational purposes only.

# CAT Billing: Website Updates

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- ▶ The [CAT Billing Scenarios](#) was updated and published on May 21, 2024 to add Exchange-specific Floor Broker scenarios.

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# Compliance Updates

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# Industry Member Report Card Updates

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- ▶ The May 2024 CAT Industry Member Transaction Report Cards were published on June 15, 2024
- ▶ Other Compliance Resources:
  - [Industry Member Report Card- Known Issues List](#)
  - [Transaction Compliance Glossary](#) – Updated Glossary posted on May 31, 2024
  - [CAIS Compliance Glossary](#) – Updated Glossary posted on May 28, 2024
  - Previously Recorded and Posted [Report Card Webinars](#)

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# Upcoming Industry Webinars and Other Updates

# Monthly CAT Update

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- ▶ The next [Monthly CAT Update](#) will be held on July 18, 2024 at 4:15 pm ET.
- ▶ The recording and presentation from the May 16, 2024 [Monthly CAT Update](#) are available on the CAT website.

# Live CAT Overview Training Sessions

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- ▶ FINRA CAT, LLC is offering live and interactive CAT Overview training sessions for Industry Members and CAT Reporting Agents.
- ▶ The CAT Overview focuses on the CAT NMS Plan, Transaction, and CAIS and Report Cards, and includes a live demonstration of the CAT Reporter Portal.
- ▶ All training sessions are fully customizable to suit your firm's needs.
- ▶ Please contact the FINRA CAT Helpdesk at [help@finracat.com](mailto:help@finracat.com) or (888) 696-3348 to schedule a training session for your firm.

# FINRA CAT Helpdesk

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Questions may be directed to the FINRA CAT Helpdesk at 888-696-3348 or [help@finracat.com](mailto:help@finracat.com)

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**Q&A**

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# How to Ask a Question during Today's Call

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- **For participants using computer audio:**
  - Click the “Raise Hand” button at the bottom of the participants window.
  - A visual prompt will indicate that your line has been unmuted.
- **For participants using phone audio:**
  - Enter \*9 on your phone keypad.
  - An audio prompt will indicate that your line has been unmuted.

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# Appendix

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# Equities – Rolling Five Day Period

## Initial T+1 vs. T+5 8 am Data Ready for Regulators

### May 10, 2024 – May 28, 2024

Trade Date	Late%	Rejection Initial	Rejection Adjusted	Intrafirm Initial	Intrafirm Adjusted	Interfirm Sent Initial	Interfirm Sent Adjusted	Interfirm Received Initial	Interfirm Received Adjusted	Exchange Initial	Exchange Adjusted	Trade Initial	Trade Adjusted	Overall Error Rate Initial	Overall Error Rate Adjusted
5/10/2024	.0045%	.0001%	.0000%	.0005%	.0004%	.0092%	.0002%	.0191%	.0157%	.0003%	.0003%	.0013%	.0012%	.0079%	.0065%
5/13/2024	.0007%	.0002%	.0002%	.0003%	.0003%	.0003%	.0002%	.0042%	.0011%	.0004%	.0004%	.0018%	.0014%	.0017%	.0013%
5/14/2024	.0025%	.0019%	.0001%	.0025%	.0005%	.0004%	.0002%	.0061%	.0012%	.0003%	.0003%	.1745%	.1479%	.0071%	.0033%
5/15/2024	.0060%	.0001%	.0001%	.0005%	.0005%	.2103%	.0001%	.2105%	.0006%	.0004%	.0004%	.0727%	.0725%	.0504%	.0065%
5/16/2024	.0009%	.0025%	.0001%	.0006%	.0005%	.0007%	.0001%	.0011%	.0006%	.0004%	.0004%	.0229%	.0018%	.0041%	.0014%
5/17/2024	.0209%	.0002%	.0000%	.0191%	.0005%	.0004%	.0001%	.0261%	.0012%	.0004%	.0004%	.0019%	.0017%	.0373%	.0215%
5/20/2024	.0495%	.0009%	.0001%	.0005%	.0004%	.0003%	.0001%	.0519%	.0009%	.0011%	.0010%	.0027%	.0025%	.0563%	.0502%
5/21/2024	.2164%	.0001%	.0001%	.0007%	.0006%	.0006%	.0003%	.2236%	.0010%	.0010%	.0009%	.0637%	.0023%	.2407%	.2172%
5/22/2024	.0014%	.0001%	.0001%	.0006%	.0005%	.0003%	.0002%	.0121%	.0009%	.0008%	.0007%	.0012%	.0012%	.0033%	.0021%
5/23/2024	.0024%	.0013%	.0013%	.0014%	.0014%	.0014%	.0007%	.0033%	.0006%	.0024%	.0024%	.0017%	.0014%	.0048%	.0043%
5/24/2024	.0001%	.0001%	.0000%	.0007%	.0007%	.0006%	.0004%	.0016%	.0008%	.0005%	.0005%	.0021%	.0019%	.0010%	.0008%
5/28/2024	.0035%	.0002%	.0001%	.0007%	.0005%	.0006%	.0005%	.0098%	.0007%	.0007%	.0006%	1.0674%	.0032%	.0066%	.0043%

# Equities – Rolling Five Day Period

## Initial T+1 vs. T+5 8 am Data Ready for Regulators

### May 29, 2024 – June 13, 2024

Trade Date	Late%	Rejection Initial	Rejection Adjusted	Intrafirm Initial	Intrafirm Adjusted	Interfirm Sent Initial	Interfirm Sent Adjusted	Interfirm Received Initial	Interfirm Received Adjusted	Exchange Initial	Exchange Adjusted	Trade Initial	Trade Adjusted	Overall Error Rate Initial	Overall Error Rate Adjusted
5/29/2024	.0021%	.0001%	.0000%	.0007%	.0006%	.0026%	.0023%	.0041%	.0025%	.0008%	.0007%	.1890%	.0021%	.0037%	.0031%
5/30/2024	.0006%	.0002%	.0000%	.0007%	.0006%	.0044%	.0026%	.0037%	.0012%	.0008%	.0007%	.0097%	.0018%	.0033%	.0025%
5/31/2024	.0035%	.0001%	.0001%	.0004%	.0004%	.0007%	.0001%	.0052%	.0007%	.0008%	.0008%	.0035%	.0010%	.0195%	.0178%
6/3/2024	.2608%	.0032%	.0001%	.0312%	.0293%	.0040%	.0002%	.4986%	.0016%	.7532%	.0008%	.0032%	.0014%	.4351%	.2815%
6/4/2024	.0246%	.0003%	.0001%	.0225%	.0220%	.0109%	.0001%	.0288%	.0051%	1.2477%	.0006%	.0083%	.0033%	.1975%	.0327%
6/5/2024	.0083%	.0002%	.0001%	.0290%	.0285%	.0396%	.0001%	.0410%	.0008%	.0322%	.0008%	.0015%	.0013%	.0414%	.0286%
6/6/2024	.0022%	.0002%	.0001%	.0379%	.0280%	.2118%	.0002%	.2055%	.0008%	.1632%	.0007%	.0014%	.0012%	.0916%	.0221%
6/7/2024	.6147%	.0001%	.0001%	.0149%	.0147%	.0005%	.0002%	1.2596%	.0352%	.0006%	.0006%	.0012%	.0011%	.7530%	.6288%
6/10/2024	.1255%	.0003%	.0002%	.0337%	.0318%	.0006%	.0004%	.1836%	.0011%	.0010%	.0010%	.0045%	.0014%	.1651%	.1446%
6/11/2024	.0449%	.0001%	.0001%	.0247%	.0243%	.0016%	.0013%	.1388%	.0007%	.0008%	.0008%	.0012%	.0009%	.0769%	.0623%
6/12/2024	.0007%	.0001%	.0001%	.0190%	.0188%	.0010%	.0001%	.0048%	.0007%	.0007%	.0007%	.0011%	.0009%	.0149%	.0142%
6/13/2024	.0191%	.0001%	.0001%	.0237%	.0235%	.0010%	.0001%	.0455%	.0005%	.0007%	.0007%	.0009%	.0008%	.0409%	.0362%

# Options – Rolling Five Day Period

## Initial T+1 vs. T+5 8 am Data Ready for Regulators

### May 10, 2024 – May 28, 2024

Trade Date	Late%	Rejection Initial	Rejection Adjusted	Intrafirm Initial	Intrafirm Adjusted	Interfirm Sent Initial	Interfirm Sent Adjusted	Interfirm Received Initial	Interfirm Received Adjusted	Exchange Initial	Exchange Adjusted	Trade Initial	Trade Adjusted	Overall Error Rate Initial	Overall Error Rate Adjusted
5/10/2024	.0000%	.0000%	.0000%	.0001%	.0001%	.0052%	.0020%	.0089%	.0072%	.0020%	.0014%	.1223%	.0317%	.0007%	.0005%
5/13/2024	.0000%	.0001%	.0000%	.0001%	.0001%	.0011%	.0004%	.0177%	.0072%	.0022%	.0022%	.0512%	.0280%	.0008%	.0007%
5/14/2024	.0000%	.0001%	.0000%	.0001%	.0001%	.0203%	.0033%	.0153%	.0077%	.0023%	.0018%	.0296%	.0296%	.0009%	.0006%
5/15/2024	.0000%	.0001%	.0000%	.0001%	.0001%	.0050%	.0006%	.0324%	.0068%	.0040%	.0040%	.0227%	.0114%	.0014%	.0012%
5/16/2024	.0001%	.0001%	.0000%	.0002%	.0001%	.0015%	.0005%	.0588%	.0186%	.0025%	.0025%	.0521%	.0241%	.0011%	.0010%
5/17/2024	.0366%	.0001%	.0000%	.0002%	.0001%	.9473%	.0006%	1.5632%	.0066%	.0008%	.0007%	.0790%	.0465%	.0413%	.0369%
5/20/2024	.0001%	.0001%	.0000%	.0002%	.0002%	.0031%	.0024%	.0538%	.0084%	.0006%	.0006%	.0212%	.0159%	.0006%	.0004%
5/21/2024	.2400%	.0000%	.0000%	.0001%	.0001%	.0044%	.0008%	2.2859%	.0083%	.0004%	.0004%	.0669%	.0446%	.2431%	.2402%
5/22/2024	.0270%	.0570%	.0002%	.0356%	.0001%	.0043%	.0018%	.0406%	.0287%	.0014%	.0014%	.0527%	.0527%	.1102%	.0276%
5/23/2024	.0033%	.0000%	.0000%	.0003%	.0001%	.0167%	.0005%	.0147%	.0061%	.0013%	.0013%	.0385%	.0385%	.0039%	.0037%
5/24/2024	.0001%	.0000%	.0000%	.0001%	.0000%	.0013%	.0004%	.0149%	.0062%	.0511%	.0511%	.0151%	.0100%	.0144%	.0144%
5/28/2024	.0003%	.0000%	.0000%	.0002%	.0002%	.0848%	.0839%	.3176%	.1991%	.0400%	.0209%	.0396%	.0396%	.0123%	.0067%

# Options – Rolling Five Day Period

## Initial T+1 vs. T+5 8 am Data Ready for Regulators

### May 29, 2024 – June 13, 2024

Trade Date	Late%	Rejection Initial	Rejection Adjusted	Intrafirm Initial	Intrafirm Adjusted	Interfirm Sent Initial	Interfirm Sent Adjusted	Interfirm Received Initial	Interfirm Received Adjusted	Exchange Initial	Exchange Adjusted	Trade Initial	Trade Adjusted	Overall Error Rate Initial	Overall Error Rate Adjusted
5/29/2024	.0000%	.0000%	.0000%	.0002%	.0002%	.0014%	.0005%	.0132%	.0056%	.0461%	.0237%	.1054%	.1001%	.0130%	.0067%
5/30/2024	.0021%	.0001%	.0000%	.0002%	.0002%	.0027%	.0003%	.0162%	.0072%	.0019%	.0015%	.2314%	.1889%	.0032%	.0030%
5/31/2024	.0058%	.0000%	.0000%	.0003%	.0002%	.1127%	.0006%	.0597%	.0496%	.0030%	.0028%	.1943%	.0000%	.0086%	.0081%
6/3/2024	.0323%	.0001%	.0000%	.0020%	.0019%	.0012%	.0005%	5.8592%	.0068%	.0026%	.0024%	.2183%	.0327%	.0435%	.0343%
6/4/2024	.0138%	.0005%	.0000%	.0022%	.0020%	.0353%	.0051%	1.4597%	.1764%	.0024%	.0024%	.0846%	.0451%	.0187%	.0161%
6/5/2024	.0000%	.0001%	.0000%	.0025%	.0023%	.0014%	.0007%	.0174%	.0089%	.0020%	.0020%	.0491%	.0442%	.0025%	.0023%
6/6/2024	.0064%	.0001%	.0000%	.0026%	.0025%	.1884%	.0019%	.0767%	.0091%	.0027%	.0027%	.0628%	.0628%	.0094%	.0088%
6/7/2024	5.8944%	.0000%	.0000%	10.3005%	.0023%	.1161%	.0005%	.8919%	.8824%	.0049%	.0035%	.0272%	.0217%	13.3361%	5.8979%
6/10/2024	.0355%	.0000%	.0000%	.0020%	.0017%	.0401%	.0019%	6.6192%	.0307%	.0015%	.0014%	.0522%	.0348%	.0469%	.0371%
6/11/2024	.0266%	.0000%	.0000%	.0019%	.0017%	.0038%	.0009%	4.9616%	.0204%	.0017%	.0017%	.0518%	.0362%	.0363%	.0283%
6/12/2024	.0020%	.0004%	.0000%	.0023%	.0022%	.0015%	.0004%	.1644%	.1557%	.0026%	.0025%	.0569%	.0502%	.0050%	.0046%
6/13/2024	.0000%	.0003%	.0000%	.0021%	.0020%	.0026%	.0008%	.0168%	.0068%	.0019%	.0019%	.0307%	.0102%	.0024%	.0020%

# Equities – Industry Aggregate Trade Date Statistics

## May 10, 2024 – May 28, 2024

Trade Date	Processed	Accepted	Late	Overall Errors Count
2024-05-10	27,867,176,085	27,867,067,662	3,185,215	3,300,253
2024-05-13	26,798,569,002	26,798,203,192	598,901	734,890
2024-05-14	29,380,348,137	29,379,679,659	1,174,977	1,331,647
2024-05-15	29,961,357,277	29,961,242,707	2,132,515	2,273,224
2024-05-16	27,913,467,300	27,912,555,591	582,279	733,528
2024-05-17	25,994,283,749	25,994,136,988	5,732,572	5,875,374
2024-05-20	23,481,435,537	23,481,137,022	12,119,761	12,263,550
2024-05-21	23,604,119,944	23,604,049,049	51,383,189	51,560,097
2024-05-22	28,996,464,464	28,996,346,306	704,787	886,024
2024-05-23	36,762,479,461	36,761,930,164	1,583,820	1,761,248
2024-05-24	25,632,738,890	25,632,563,845	244,839	405,826
2024-05-28	30,080,653,429	30,080,514,557	1,299,857	1,465,586

# Equities – Industry Aggregate Trade Date Statistics

## May 29, 2024 – June 13, 2024

Trade Date	Processed	Accepted	Late	Overall Errors Count
2024-05-29	29,175,777,505	29,173,226,483	847,172	1,031,826
2024-05-30	30,454,446,684	30,453,340,622	190,946	732,487
2024-05-31	34,964,228,097	34,964,045,427	1,226,357	6,202,464
2024-06-03	32,725,034,138	32,723,926,023	85,364,405	91,764,892
2024-06-04	33,710,248,447	33,710,091,857	8,344,187	10,790,574
2024-06-05	28,440,080,357	28,438,923,363	2,381,603	7,835,540
2024-06-06	29,703,145,130	29,703,046,479	662,913	6,252,386
2024-06-07	32,368,159,685	32,367,532,329	199,000,662	203,225,249
2024-06-10	28,846,376,870	28,839,123,413	36,184,302	41,691,275
2024-06-11	28,864,290,519	28,864,014,455	12,964,613	17,976,927
2024-06-12	39,346,131,969	39,345,944,535	264,870	5,582,980
2024-06-13	30,975,879,987	30,975,800,986	5,871,566	11,163,372

# Options – Industry Aggregate Trade Date Statistics

## May 10, 2024 – May 28, 2024

Trade Date	Processed	Accepted	Late	Overall Errors Count
2024-05-10	19,168,478,085	19,168,302,232	174,865	200,351
2024-05-13	19,108,256,416	19,108,161,806	179,074	232,831
2024-05-14	19,088,894,997	19,088,742,941	196,234	223,565
2024-05-15	19,031,221,202	19,030,936,575	303,655	327,129
2024-05-16	19,017,298,011	19,017,206,491	233,569	268,738
2024-05-17	20,057,781,839	20,057,701,025	7,453,552	7,479,370
2024-05-20	19,404,677,503	19,404,571,434	132,965	162,986
2024-05-21	19,723,379,686	19,723,288,723	47,427,531	47,443,911
2024-05-22	19,717,176,395	19,705,809,361	5,460,098	5,506,628
2024-05-23	20,220,640,678	20,220,530,595	813,698	829,824
2024-05-24	20,237,312,794	20,237,241,534	178,719	2,966,769
2024-05-28	19,803,573,405	19,803,526,659	224,234	1,390,510

# Options – Industry Aggregate Trade Date Statistics May 29, 2024 – June 13, 2024

Trade Date	Processed	Accepted	Late	Overall Errors Count
2024-05-29	20,118,389,054	20,118,314,040	101,929	1,408,888
2024-05-30	20,038,991,710	20,038,934,667	489,708	607,808
2024-05-31	20,194,750,910	20,193,938,702	1,344,336	1,695,111
2024-06-03	19,935,113,695	19,935,030,505	6,569,799	6,887,580
2024-06-04	19,365,327,761	19,365,178,118	2,781,207	3,150,275
2024-06-05	18,780,144,100	18,779,967,436	122,046	451,944
2024-06-06	18,786,330,249	18,786,280,995	1,276,635	1,626,226
2024-06-07	19,299,467,095	19,298,464,072	1,137,524,086	1,138,269,779
2024-06-10	19,251,560,539	19,249,839,301	6,841,085	7,141,716
2024-06-11	19,144,394,648	19,144,210,649	5,097,611	5,415,570
2024-06-12	18,820,662,418	18,820,497,524	367,805	868,042
2024-06-13	18,775,650,737	18,775,491,350	1,440	383,829