

# 2023 Industry Member Releases (Transaction)

## Scope and Schedule

### 2023 – ADF Release

Spec Publication – 12/16/2022

Industry Test Release – 3/6/2023

Production/Production Mirror  
Release – 3/27/2023

#### Onboarding FINRA ADF

- Add new event - MENQS - New Quote Supplement event
- Add new event - MERQS - Quote Route Supplement event
- Add *representativeQuoteInd*, *askAggregatedOrders*, and *bidAggregatedOrders* fields to MENQ event
- Add *destinationType* and *session* to MERQ event
- Change *firmDesignatedID* and *accountHolderType* fields on MENQ event from Required to Conditional
- Requirement update to optionally populate *quantity=0* for CASH orders

### 2023 – Mid-Year Release

Spec Publication – 4/11/2023

Industry Test Release – 6/5/2023

Production/Production Mirror  
Release – 7/24/2023

#### New *handlingInstructions* Validations

- Add a validation to restrict allowed paired Values for CASH, SWQ and SW *handlingInstructions* to be greater than 0
- Add a validation to restrict allowed paired Value for DISP *handlingInstructions* to be greater than or equal to 0

#### Metadata File Removal Phase 2

- Reject Metadata File submission at File Acknowledgement stage of processing

### 2023 – MEMX Options Exchange Release

Spec Publication – 7/31/2023

Industry Test Release – 7/3/2023

Production/Production Mirror  
Release – 9/18/2023

#### New values for existing fields

- Add new 'MEMXOP' value for the following fields: *destination*, *marketCenterID* and *senderIMID* to support MEMX Options exchange

# 2023 Industry Member Releases (Transaction) Scope and Schedule

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**2023 – Year-End Release**

**Spec Publication – 7/31/2023**

**Industry Test Release – 9/25/2023**

**Production/Production Mirror  
Release – 12/11/2023**

**New *quantity* Field Validation**

- Add a validation requiring *quantity* field value to be 0 for CASH orders

# Planned 2024 Industry Member Releases (Transaction) Scope and Schedule

## 2024 – Report Card by Processing Date Release

Production Release – 2/15/2024

### Transaction Report Cards

- Generate Report Cards by Processing Date starting with the Report Cards covering January 2024

## 2024 – FDID Validation to Production Release

Production/Production Mirror Release – No Later Than 4/8/2024

### CAIS-related Validations & Statistics

- Validate FDID against CAIS data
- Daily statistics reflecting FDID errors
- Provide a new linkage summary feedback file containing missing or invalid FDIDs

*This functionality is currently available in the Industry Test Environment*

## 2024 – FDID Validation Report Card Release

Production Release – 7/15/2024

### Transaction Report Cards

- Generate Report Cards with FDID Validation Errors starting with the Report Cards covering June 2024

# Planned 2024 Industry Member Releases (Transaction) Scope and Schedule

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## 2024 – Electronic RFQ Reporting Release

Spec Publication – 7/31/2023

Industry Test Release – 2Q 2024

Production/Production Mirror Release – 3Q 2024

### Electronic RFQ Reporting Release

- Add new quote events to support reporting of RFQ responses for Options (MONQ, MORQ, MOQR, MOQM, and MOQC) and Multi-Leg (MLNQ, MLRQ, MLQS, MLQR, MLQC, and MLQM)
- Add new fields to equity quote events
  - *RFQID* to MENQ, MERQ, MEQR, MEQM, and MEQC
  - *RFQFlag* to MENQ and MEQR
  - *relativePrice* to MENQ, MERQ, MEQR, and MEQM
- Add new *destinationType* value of 'S'
- New Error Codes and Validations