

2022-23 IM Releases – Scope and Schedule

<p>Release 2.1</p> <p>Production/Production Mirror Release – TBA</p>	<p>Release 3</p> <p>Spec Publication – 7/29/2022</p> <p>Industry Test Release – 10/3/2022</p> <p>Production/Production Mirror Release – 12/5/2022</p>	<p>2023 - Release 1</p> <p>Spec Publication – N/A</p> <p>Industry Test Release – N/A</p> <p>Production/Production Mirror Release – 5/15/2023</p>	<p>2023 - Release 2</p> <p>Spec Publication – 12/16/2022</p> <p>Industry Test Release – 3/13/2023</p> <p>Production/Production Mirror Release – 3/27/2023</p>
<p>CAIS related validations & Statistics</p> <ul style="list-style-type: none"> • Validate FDID against CAIS data • Daily statistics reflecting FDID errors • Provide a new linkage summary feedback file containing missing or invalid FDIDs. <p><i>* FDID Errors on Report Cards (See 2023 Release 1)</i></p>	<p>Schema changes and related validations</p> <ul style="list-style-type: none"> • Add new event - MLOE • Enforce requirements to populate <i>cancelQty</i>, <i>multiLegInd</i> on applicable events • Change data type for <i>handlingInstructions</i> value CASH from Boolean to Price to capture actual monetary value instruction • Enforce correct values on the <i>side</i> field in Leg Details. • Reject invalid values in the <i>underlying</i> field on Multi-Leg events. • Add <i>deskOrderID</i> field to Order Internal Route events to uniquely identify related events. • Add <i>priorDeskOrderID</i> field to Order Internal Route Modified events to uniquely identify related events. • Remove metadata file submission requirement and related file integrity errors. 	<p>Transaction Report Card</p> <ul style="list-style-type: none"> • FDID Errors in Transaction Report Cards will be included in April Report Card published on May 15, 2023 • Report Cards by Process Date 	<p>Onboarding FINRA ADF</p> <ul style="list-style-type: none"> • Add new event - MENQS - New Quote Supplement event • Add new event - MERQS - Quote Route Supplement event • Add <i>representativeQuoteInd</i>, <i>askAggregatedOrders</i>, and <i>bidAggregatedOrders</i> fields to MENQ event • Add <i>destinationType</i> and <i>session</i> to MERQ event • Change <i>firmDesignatedID</i> and <i>accountHolderType</i> fields on MENQ event from Required to Conditional