

2022-23 IM Releases – Scope and Schedule

Release 2.1

Production/Production Mirror
Release – 11/7/2022

CAIS related validations & Statistics

- Validate FDID against CAIS data
- Daily statistics reflecting FDID errors
- Provide a new linkage summary feedback file containing missing or invalid FDIDs.

* FDID Errors on Report Cards (See 2023 Release 1)

Release 3

Spec Publication – 7/29/2022
Industry Test Release – 10/3/2022
Production/Production Mirror
Release – 12/5/2022

Schema changes and related validations

- Add new event - **MLOE**
- Enforce requirements to populate *cancelQty*, *multiLegInd* on applicable events
- Change data type for *handlingInstructions* value CASH from Boolean to Price to capture actual monetary value instruction
- Enforce correct values on the *side* field in Leg Details.
- Reject invalid values in the *underlying* field on Multi-Leg events.
- Add *deskOrderID* field to Order Internal Route events to uniquely identify related events.
- Add *priorDeskOrderID* field to Order Internal Route Modified events to uniquely identify related events.
- Remove metadata file submission requirement and related file integrity errors.

2023 - Release 1

Spec Publication – N/A
Industry Test Release – N/A
Production/Production Mirror
Release – 2/15/2023

Transaction Report Card

- FDID Errors in Transaction Report Cards will be included in January Report Card published on February 15th, 2023
- Report Cards by Process Date

2023 - Release 2

Spec Publication – 12/9/2022
Industry Test Release – 3/13/2023
Production/Production Mirror
Release – 3/27/2023

Onboarding FINRA ADF

- Add new event - MENQS - New Quote Supplement event
- Add new event - MERQS - Quote Route Supplement event
- Add *representativeQuoteInd*, *askAggregatedOrders*, and *bidAggregatedOrders* fields to MENQ event
- Add *destinationType* and *session* to MERQ event
- Change *firmDesignatedID* and *accountHolderType* fields on MENQ event from Required to Conditional