

2022 IM Releases – Scope and Schedule

Release 1

Spec Publication – 12/20/2021
Industry Test Release – 2/7/2022
Production Release – 3/21/2022

Phase 2d cleanup - Schema changes and related validations

- Add *multiLegInd* to route modify/cancel events to eliminate unlinked errors
- Change data type for *handlingInstructions* value DLVT from Text to an array to support storage of multiple values
- Enforce requirements to populate *deptType* on MLOA event
- Enforce requirements to populate *clearingFirm* on MOOT event

Change Requested by IMs

- Add *firmDesignatedID* and *accountHolderType* to MEOTS event

Release 2

Spec Publication – 3/25/2022
Industry Test Release – 5/16/2022
Production Release – 7/11/2022

Schema changes and related validations

- Add *accountHolderType* to allocation events
- Remove TIDType from allocation events

CAIS related validations

- Validate FDID against CAIS data

Validations to address IM reporting errors

- Reject negative values in *price* fields for applicable events
- Enforce correct values on the *side* field for all events, including Trade Side Details, Firm Details, and Client Details.
- Provide updated guidance for populating the *underlying* field for Multi-Leg events.
- Provide a new linkage summary feedback file containing missing or invalid FDIDs.

Release 3 - Final Scope - TBD

Spec Publication – 7/15/2022
Industry Test Release – 10/3/2022
Production Release – 12/5/2022

Schema changes and related validations

- Add new event - **MLOE**
- Enforce requirements to populate *cancelQty*, *multiLegInd* on applicable events
- Change data type for *handlingInstructions* value CASH from Boolean to Price to capture actual monetary value instruction
- Enforce correct values on the *side* field in Leg Details.
- Reject invalid values in the *underlying* field on Multi-Leg events.
- Add *deskOrderID* field to Order Internal Route events to uniquely identify MEIR, MOIR, and MLIR events.
- Remove metadata file submission requirement and related file integrity errors.