

Planned Industry Member Technical Specification Updates– Last Updated 9/29/2020 – 2a/2b

#	Status	Summary	Publish Date	Spec Version
1	Open	Clarify that leading zeros will also be removed for Interfirm Linkage in addition to Exchange Linkage	11/6/20	2.2.1 r8
2	Open	Clarify that 6000 and 7000 series error codes apply to both sending IMs (MEORs) and receiving outbound routers (MEOAs)	11/6/20	2.2.1 r8
3	Open	Clarify the definition of <i>handlingInstructions</i> value 'BIN' (Buy-In) – “An order placed to comply with the close out requirements of Regulation SHO Rules 203(b)(3) or 204, or FINRA Rule 4320.”	11/6/20	2.2.1 r8
4	Open	Add Error Codes to support Exchange Linkage: <ul style="list-style-type: none"> • 6017 destination did not match: A matching routedOrderID was identified in an exchange order; however, the destination on the Order Route event did not match the exchange ID on the exchange order. • 7018 Named – destination did not match: Named on an exchange order, but the exchange ID on the exchange order does not match the destination reported on the corresponding Order Route event. 	11/6/20	2.2.1 r8
5	NEW-Open	Add Linker Outstanding Errors Feedback format <ul style="list-style-type: none"> • Spec update as addendum to be provided sooner (Date – TBD) 	11/6/20	2.2.1 r8

Planned Industry Member Technical Specification Updates- Last Updated 9/29/2020 - 2c

#	Status	Summary	Release Date	Spec Version
1	Open	<i>Add allocationType</i> values: <ul style="list-style-type: none">• DVPF - Allocation to a DVP account Free of Payment• CUSF – Allocation to a custody account Free of Payment	11/6/20	3.1.0 r7

Planned Industry Member Technical Specification Updates- Last Updated 9/29/2020 - 2d

#	Status	Summary	Release Date	Spec Version
1	Open	Revisit field position changes - Field positions that were previously used for <i>nextUnlinked</i> and <i>priorUnlinked</i> fields will be marked with a new value <i>retiredField</i> . Any new field names that were added to these field positions will be moved to a new field position.	11/6/20	4.0.0 r3
2	Open	<i>orderType</i> field on MOOE event should be 'R'	11/6/20	4.0.0 r3
3	Open	Remove the requirement for Industry members to change and provide a new unique orderID on CAT Order Modification and Order Adjustment events.	11/6/20	4.0.0 r3